

# Formal Analysis of Key Management APIs

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INRIA & LSV, ENS de Cachan



Host machine



Trusted device



Security API

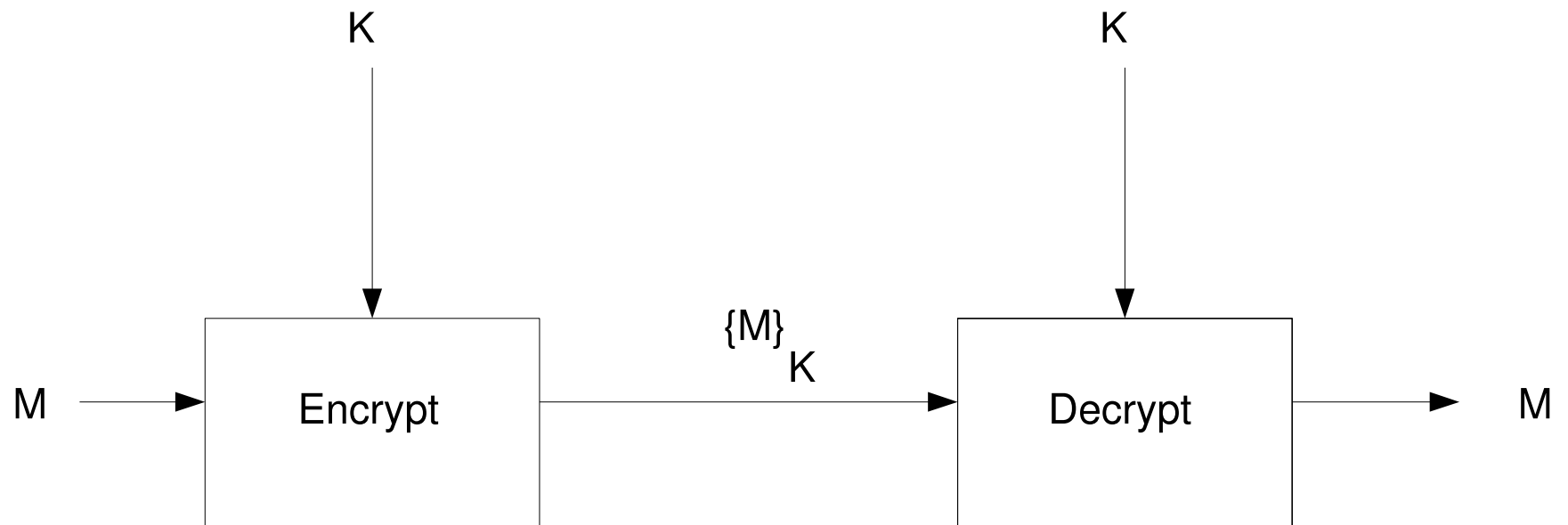
# Cryptographic key management

The 'elephant in the room' of cryptographic security

- Key creation and destruction
- Key establishment and distribution
- Key storage and backup
- Key use according to policy
- For many hundreds of keys (every employee laptop, smartcard, credential, ticket, token, device, ...)
- .. and all in a secure, robust way in a distributed system in a hostile environment

# Crypto Basics

We consider only symmetric key crypto



Problem is now the security of key  $K$

## Model

Signature  $\Sigma ::= N, X, F, P$

Plain terms

$$\begin{array}{l} t, t_i \quad := \quad x \qquad x \in X \\ \quad \quad \quad | \quad n \qquad n \in N \\ \quad \quad \quad | \quad f(t_1, \dots, t_n) \quad f \in F \end{array}$$

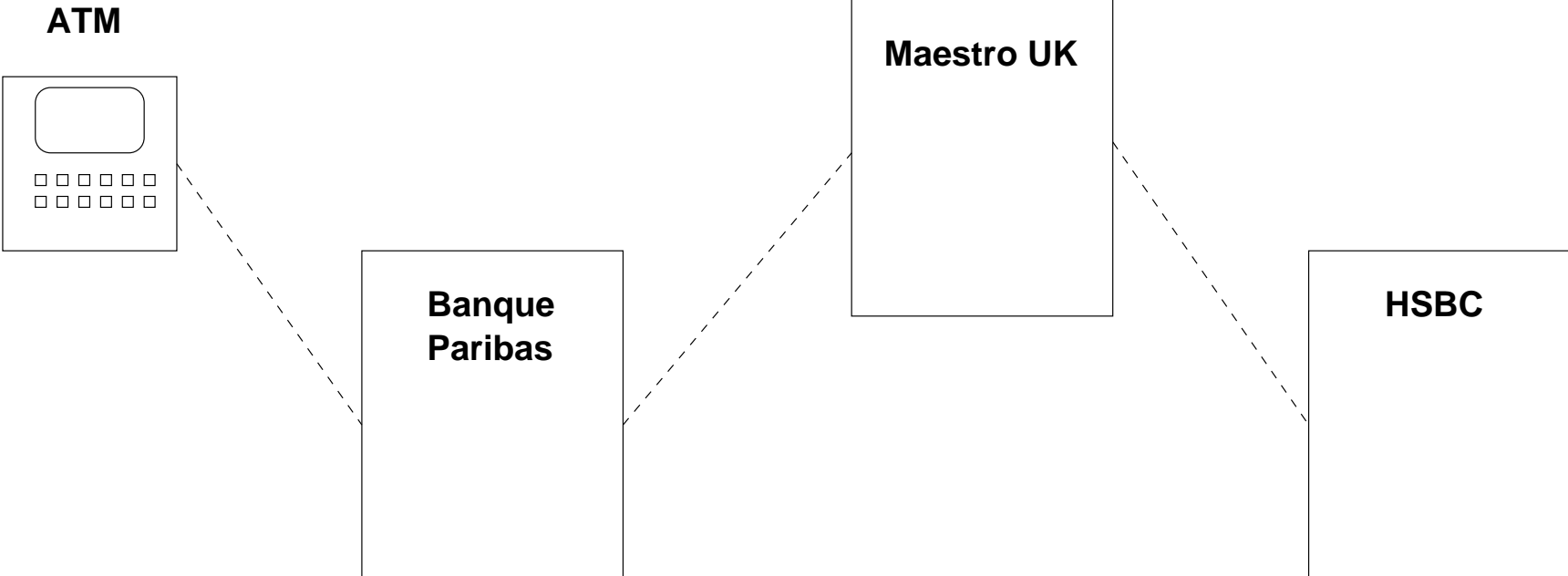
Facts

$$l = \{p(t, b) \mid p \in P, t \in T, b \in \{\top, \perp\}\}$$

Rules

$$T; L \xrightarrow{\text{new } \tilde{n}} T'; L'$$

# Cash Machine Network



## HSMs



- Manufacturers include IBM, VISA, nCipher, Thales, Utimaco, HP
- Cost around \$10 000

## A Word About Your PIN

IPIN derived by:

Write account number (PAN) as 0000AAAAAAAAAAAA

Encrypt under a PIN Derivation Key (PDK)

$$\{PAN\}_{PDK} = IPIN$$

PIN = IPIN + Offset (modulo 10 each digit)

Offset NOT secure!



# Master Key Scheme

Host machine

HSM

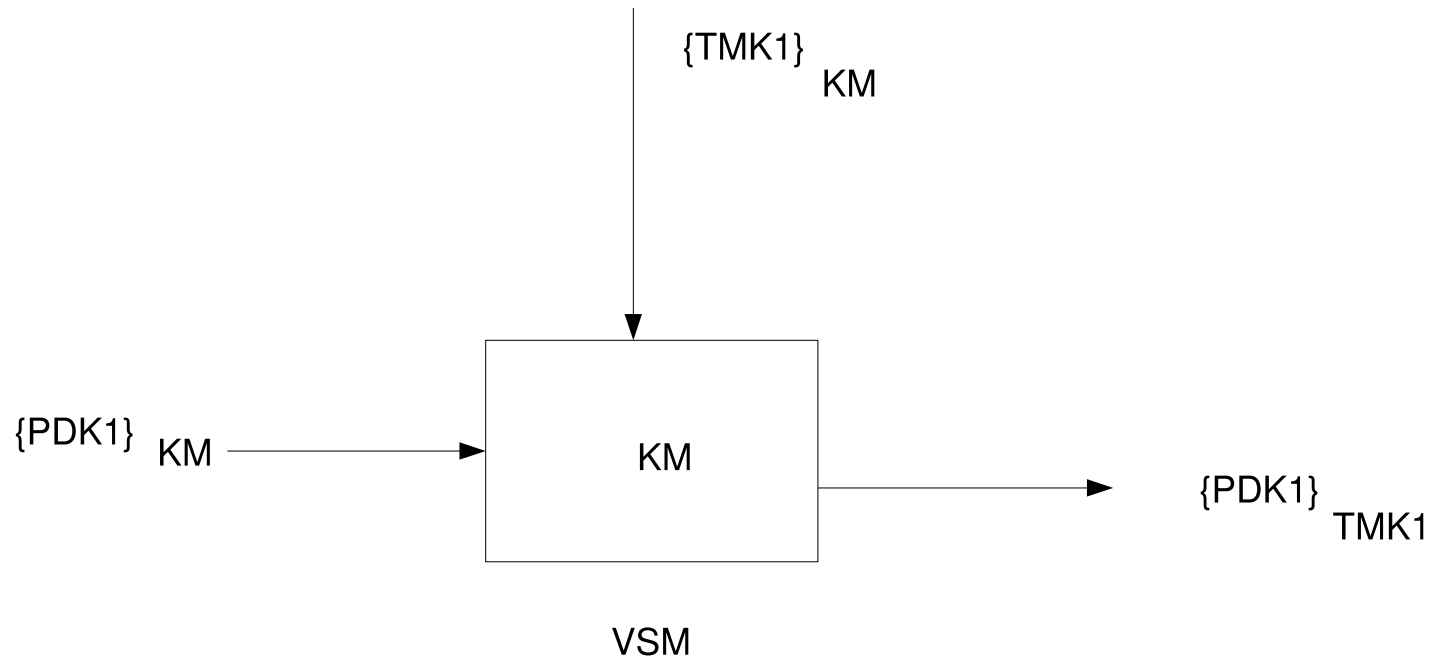
{ TMK1 }  
KM

KM

{ PDK1 }  
KM

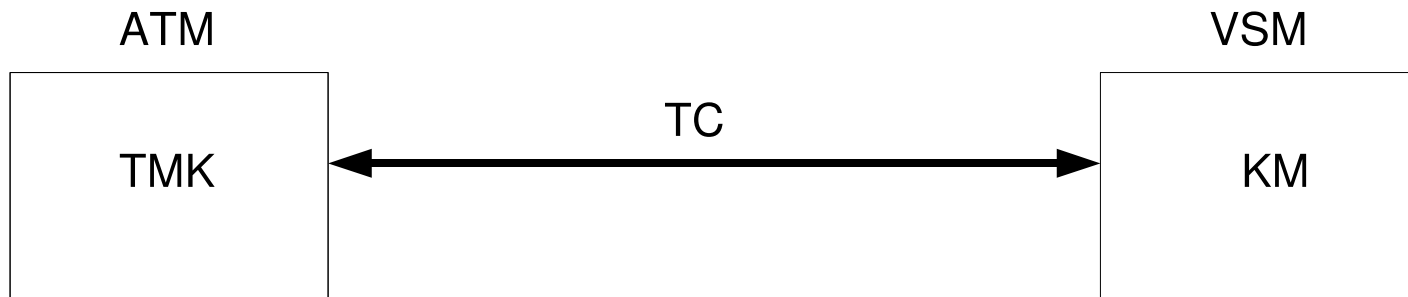
TMK = Terminal Master Key

# Example: Send PDK to Terminal



$$\{PDK1\}_{km}, \{TMK1\}_{km} \rightarrow \{PDK1\}_{TMK1}$$

# Terminal Comms (TC) Key



# Managing Key Types

Host machine

{ TMK1 }  
KM

{ PDK1 }  
KM

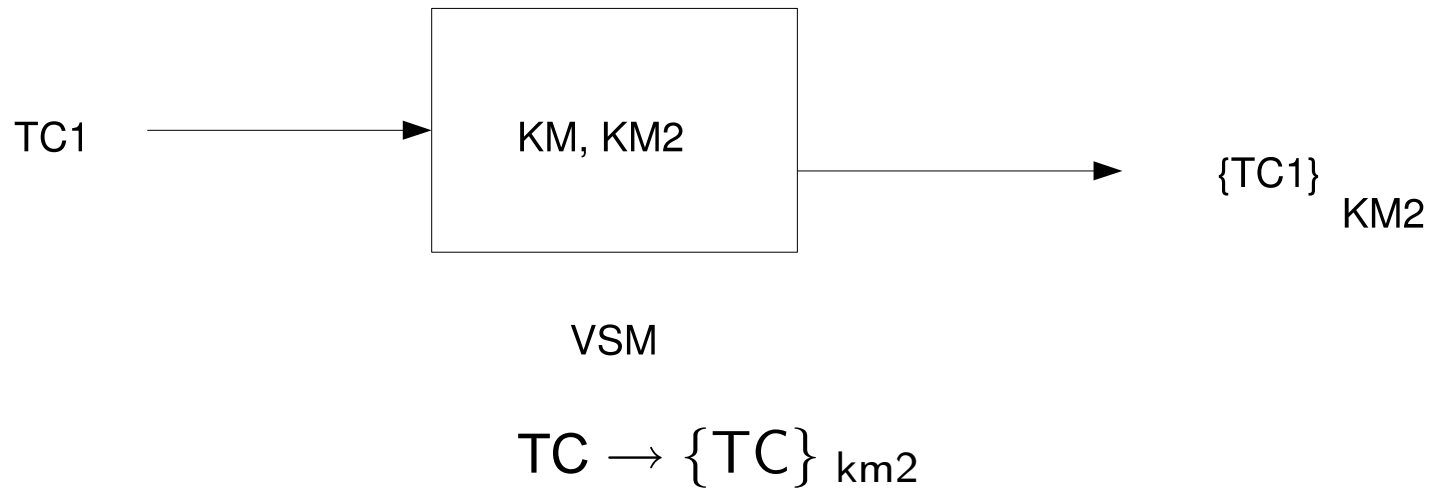
{ TC1 }  
KM2

VSM

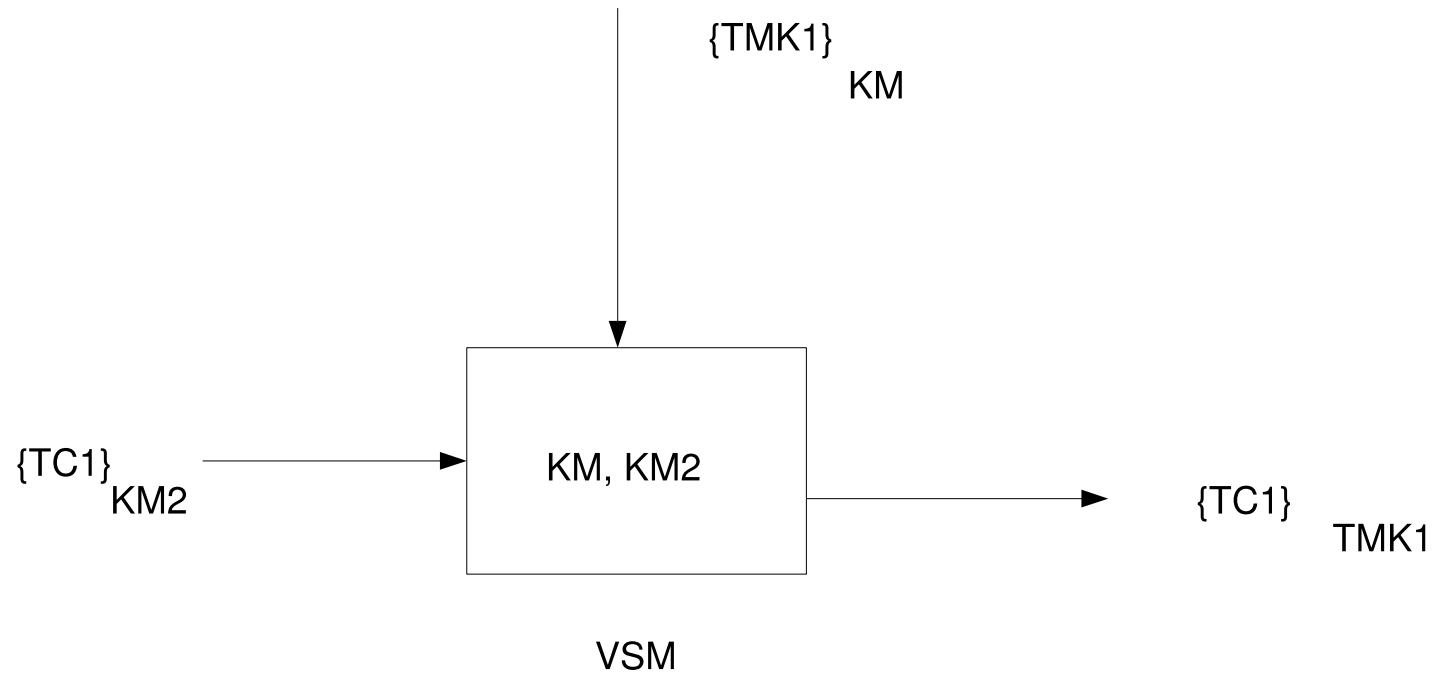
KM

KM2

## Example: Enter TC key

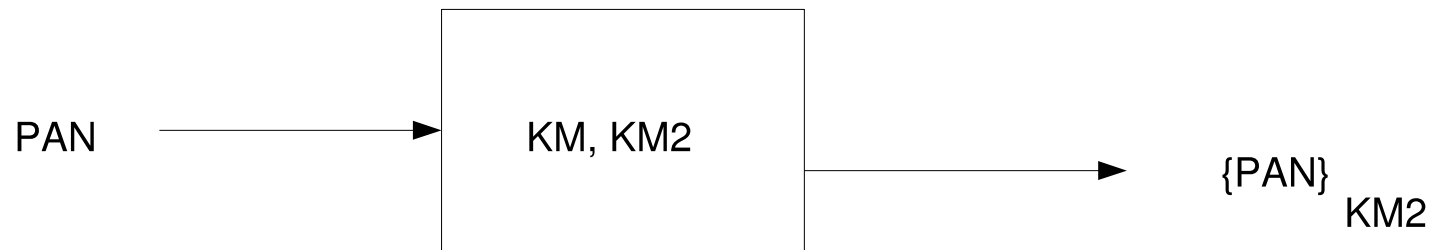


## Example: Send TC to Terminal



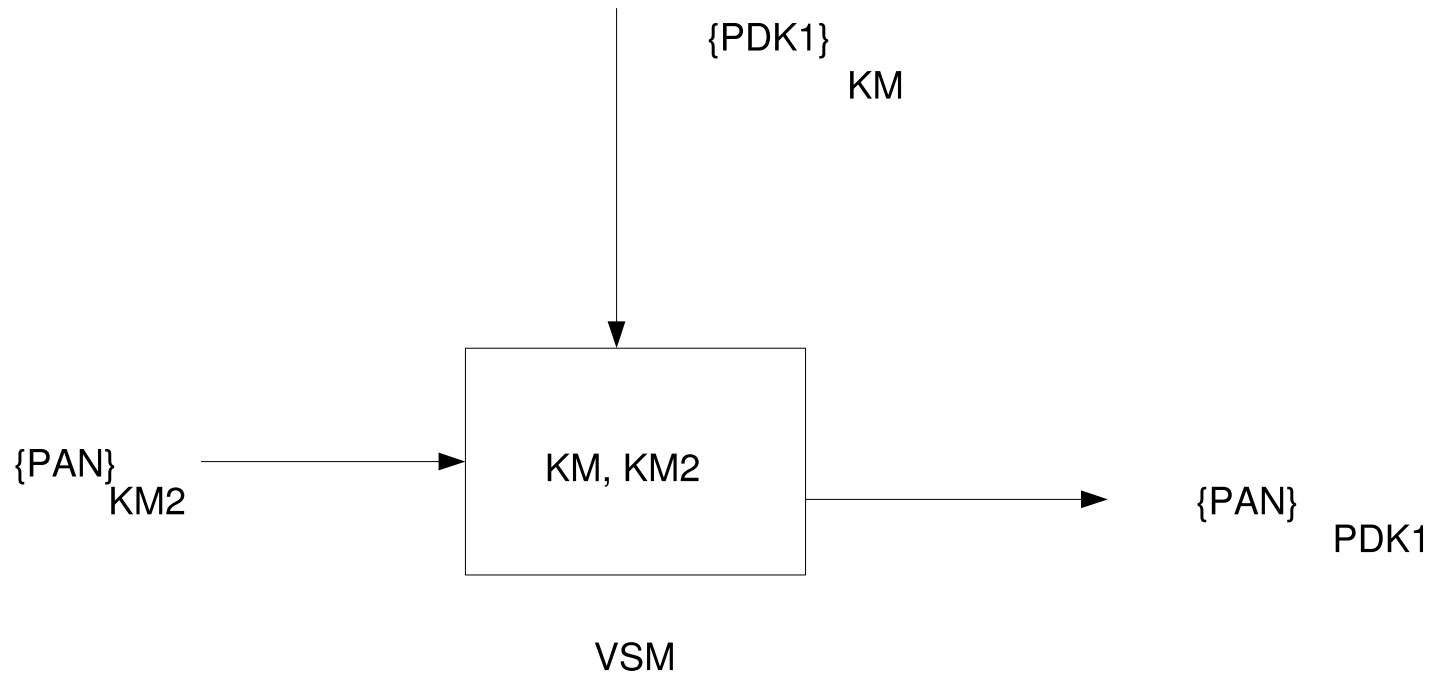
$\{TC\}_{km2}, \{TMK1\}_{km} \rightarrow \{TC\}_{TMK1}$

# Attack - Step 1



$$\text{PAN} \rightarrow \{\text{PAN}\}_{\text{km2}}$$

## Attack - Step 2



$\{PAN\}_{km2}, \{PDK1\}_{km} \rightarrow \{PAN\}_{PDK1}$



## VSM - Formal Model

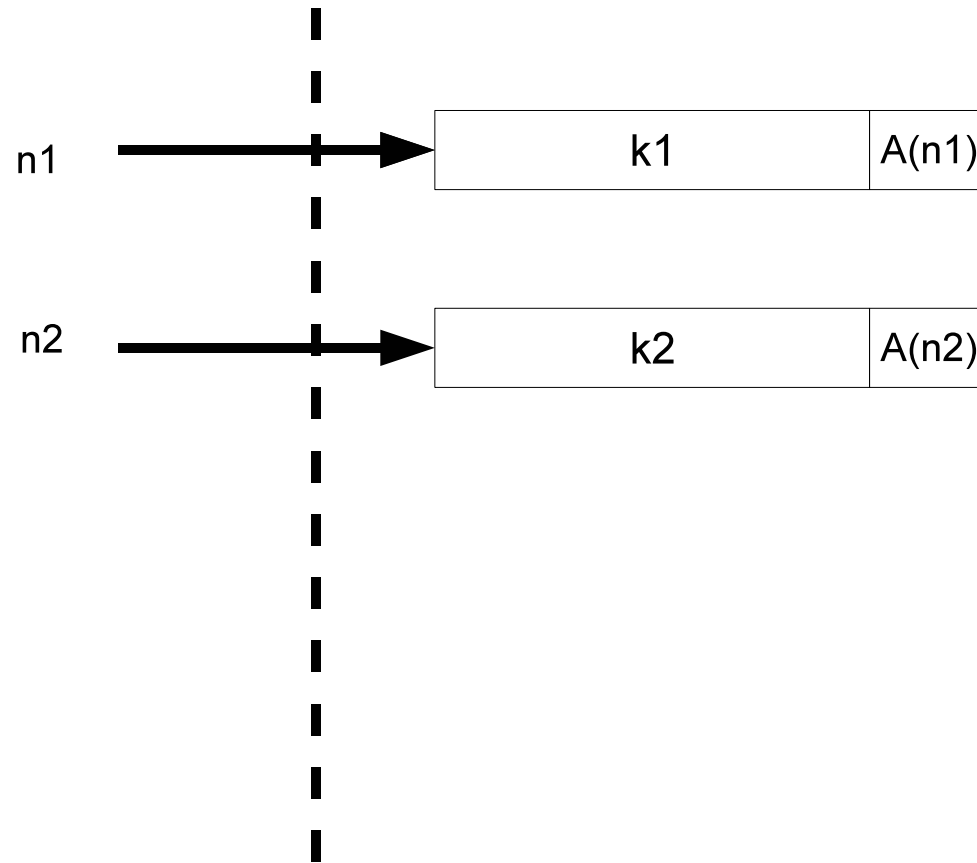
$$\begin{array}{lcl} X, Y & \rightarrow & \{X\}_Y \\ \{X\}_Y, Y & \rightarrow & X \\ & \xrightarrow{\text{new tmk}} & \{\text{tmk}\}_{km} \\ TC & \rightarrow & \{TC\}_{km2} \\ \{PDK\}_{km}, \{TMK\}_{km} & \rightarrow & \{PDK\}_{TMK} \\ \{TC\}_{km2}, \{TMK\}_{km} & \rightarrow & \{TC\}_{TMK} \end{array}$$

$I = \{\{\text{pdk}\}_{km}, \text{pan}\}$ , +8 more rules SWV237, 238 ([www.tptp.org](http://www.tptp.org))

CASC at FLoC '10: 9/17 provers can find the attack, only E can find model

Host machine

Trusted device



PKCS #11

# PKCS#11

Ubiquitous in authentication tokens, smartcards,...

RSA PKCS#11 is specified in a 400 page document

We consider here a core fragment of key management operations

Not included: signing, verification, certificate management, etc.

$h(n1, k1)$  - a handle  $n1$  for key  $k1$  ( $h$  is a *private symbol*)

$a1(n1)$  - setting of attribute  $a1$  for handle  $n1$

We consider attributes:

$encrypt(n)$ ,  $decrypt(n)$ ,  $sensitive(n)$

$extract(n)$ ,  $wrap(n)$ ,  $unwrap(n)$

# Key Management - 1

KeyGenerate :

$\xrightarrow{\text{new } n, k}$   $h(n, k); L$

Where  $L = \neg\text{extractable}(n), \neg\text{wrap}(n), \neg\text{unwrap}(n),$   
 $\neg\text{encrypt}(n), \neg\text{decrypt}(n), \neg\text{sensitive}(n)$

## Key Management - 2

Set_Wrap :	$h(x_1, y_1); \neg \text{wrap}(x_1)$	$\rightarrow$	$;$ wrap(x <sub>1</sub> )
Set_Encrypt :	$h(x_1, y_1); \neg \text{encrypt}(x_1)$	$\rightarrow$	$;$ encrypt(x <sub>1</sub> )
⋮			⋮
UnSet_Wrap :	$h(x_1, y_1); \text{wrap}(x_1)$	$\rightarrow$	$;$ ¬wrap(x <sub>1</sub> )
UnSet_Encrypt :	$h(x_1, y_1); \text{encrypt}(x_1)$	$\rightarrow$	$;$ ¬encrypt(x <sub>1</sub> )
⋮			⋮

Some restrictions, e.g. can't unset sensitive

## Key Management - 3

Wrap :

$$h(x_1, y_1), h(x_2, y_2); \text{wrap}(x_1), \quad \rightarrow \quad \{y_2\}_{y_1} \\ \text{extract}(x_2)$$

Unwrap :

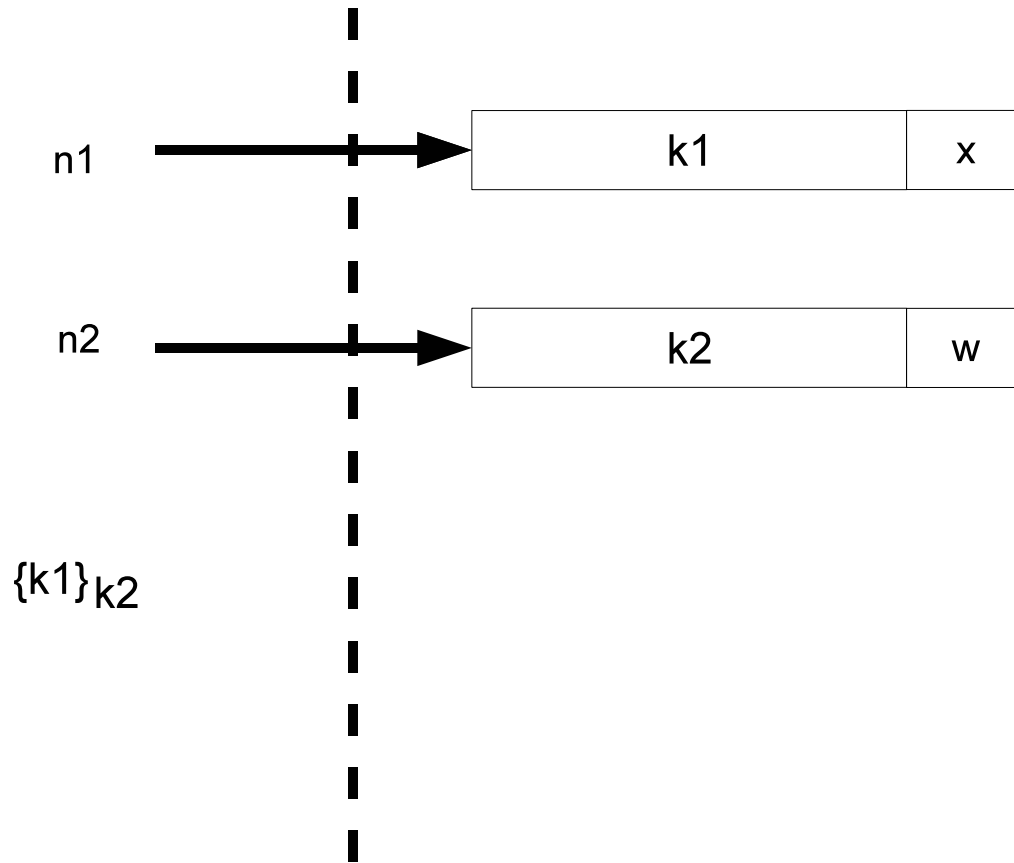
$$h(x_2, y_2), \{y_1\}_{y_2}; \text{unwrap}(x_2) \xrightarrow{\text{new } n_1} h(n_1, y_1); \text{extract}(n_1), L$$

where  $L =$

$$\neg \text{wrap}(n_1), \neg \text{unwrap}(n_1), \neg \text{encrypt}(n_1), \neg \text{decrypt}(n_1), \neg \text{sensitive}(n_1).$$

Host machine

Trusted device



PKCS #11

## Key Usage

Encrypt :

$$h(x_1, y_1), y_2; \text{encrypt}(x_1) \rightarrow \{y_2\}_{y_1}$$

Decrypt :

$$h(x_1, y_1), \{y_2\}_{y_1}; \text{decrypt}(x_1) \rightarrow y_2$$



## Key Separation Attack (Clulow, 2003)

**Intruder knows:**  $h(n_1, k_1)$ ,  $h(n_2, k_2)$ .

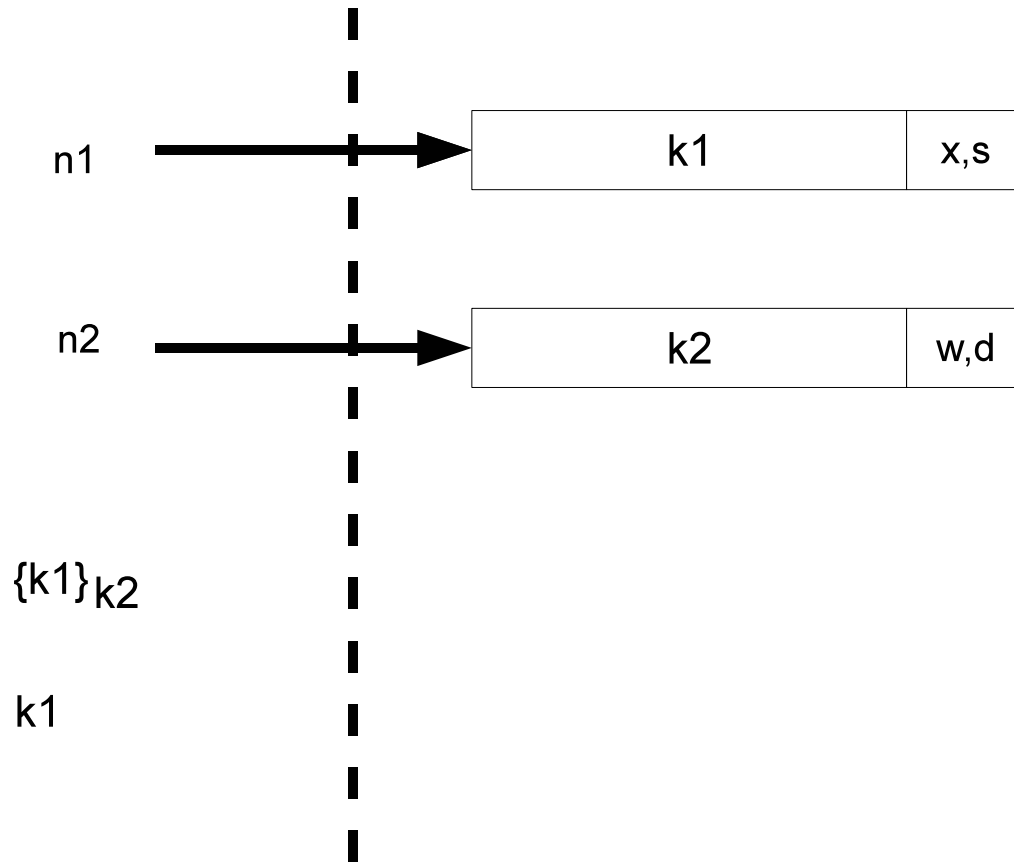
**State:**  $\text{wrap}(n_2)$ ,  $\text{decrypt}(n_2)$ ,  $\text{sensitive}(n_1)$ ,  $\text{extract}(n_1)$

Wrap:  $h(n_2, k_2), h(n_1, k_1) \rightarrow \{k_1\}_{k_2}$

Decrypt:  $h(n_2, k_2), \{k_1\}_{k_2} \rightarrow k_1$

Host machine

Trusted device



PKCS #11

## Fix decrypt/wrap attack..

Set\_Wrap :  $h(x_1, y_1); \neg \text{wrap}(x_1), \neg \text{decrypt}(x_1) \rightarrow \text{wrap}(x_1)$

Set\_Decrypt :  $h(x_1, y_1); \neg \text{wrap}(x_1), \neg \text{decrypt}(x_1) \rightarrow \text{decrypt}(x_1)$

~~Unset\_Wrap~~

~~Unset\_Decrypt~~

## Another Attack

**Intruder knows:**  $h(n_1, k_1)$ ,  $h(n_2, k_2)$ ,  $k_3$

**State:**  $\text{sensitive}(n_1)$ ,  $\text{extract}(n_1)$ ,  $\text{unwrap}(n_2)$ ,  $\text{encrypt}(n_2)$

SEncrypt:  $h(n_2, k_2), k_3 \rightarrow \{k_3\}_{k_2}$

Unwrap:  $h(n_2, k_2), \{k_3\}_{k_2} \xrightarrow{\text{new } n_3} h(n_3, k_3)$

Set\_wrap:  $h(n_3, k_3) \rightarrow \text{wrap}(n_3)$

Wrap:  $h(n_3, k_3), h(n_1, k_1) \rightarrow \{k_1\}_{k_3}$

Intruder:  $\{k_1\}_{k_3}, k_3 \rightarrow k_1$

## Fix decrypt/wrap, encrypt/unwrap..

**Intruder knows:**  $h(n_1, k_1)$ ,  $h(n_2, k_2)$ ,  $k_3$

**State:**  $\text{sensitive}(n_1)$ ,  $\text{extract}(n_1)$ ,  $\text{extract}(n_2)$

Set\_wrap:  $h(n_2, k_2) \rightarrow ;\text{wrap}(n_2)$

Set\_wrap:  $h(n_1, k_1) \rightarrow ;\text{wrap}(n_1)$

Wrap:  $h(n_1, k_1), h(n_2, k_2) \rightarrow \{k_2\}_{k_1}$

Set\_unwrap:  $h(n_1, k_1) \rightarrow ;\text{unwrap}(n_1)$

Unwrap:  $h(n_1, k_1), \{k_2\}_{k_1} \xrightarrow{\text{new } n_3} h(n_3, k_2)$

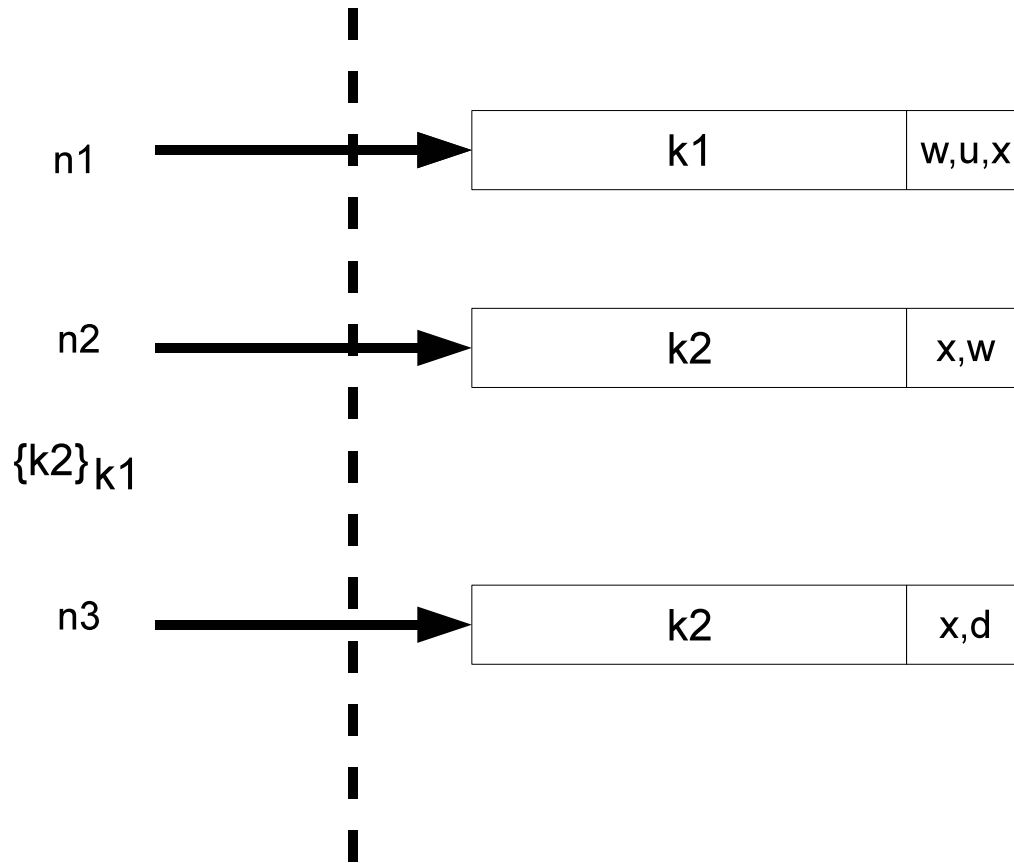
Wrap:  $h(n_2, k_2), h(n_1, k_1) \rightarrow \{k_1\}_{k_2}$

Set\_decrypt:  $h(n_3, k_2) \rightarrow ;\text{decrypt}(n_3)$

Decrypt:  $h(n_3, k_2), \{k_1\}_{k_2} \rightarrow k_1$

Host machine

Trusted device



$\{k2\}_{k1}$

PKCS #11

## Modes

h : Nonce  $\times$  Key  $\rightarrow$  Handle  
senc : Key  $\times$  Key  $\rightarrow$  Cipher  
aenc : Key  $\times$  Key  $\rightarrow$  Cipher  
pub : Seed  $\rightarrow$  Key  
priv : Seed  $\rightarrow$  Key  
a : Nonce  $\rightarrow$  Attribute for all  $a \in \mathcal{A}$   
 $x_1, x_2, n_1, n_2$  : Nonce  
 $y_1, y_2, k_1, k_2$  : Key  
z, s : Seed

See Delaune, Kremer & S., *Formal Analysis of PKCS#11*, CSF '08

## Two kinds of problem

- A bad 'attribute policy'

One can set conflicting attributes for a key

- Policy not enforced

By copying the key using wrap/unwrap, can 'escape' the policy

Attack this problem by first formalising 'attribute policy'



KeyGenerate :  $\xrightarrow{\text{new } n_1, k_1} h(n_1, k_1); L(n_1), \neg\text{extract}(n_1)$

Wrap :

$h(x_1, y_1), h(x_2, y_2); \text{wrap}(x_1), \text{extract}(x_2) \rightarrow \{y_2\}_{y_1}$

Unwrap :

$h(x_2, y_2), \{y_1\}_{y_2}; \text{unwrap}(x_2) \xrightarrow{\text{new } n_1} h(n_1, y_1); L(n_1)$

Encrypt :  $h(x_1, y_1), y_2; \text{encrypt}(x_1) \rightarrow \{y_2\}_{y_1}$

Decrypt :  $h(x_1, y_1), \{y_2\}_{y_1}; \text{decrypt}(x_1) \rightarrow y_2$

Set\_Encrypt :  $h(x_1, y_1); \neg\text{encrypt}(x_1) \rightarrow \text{encrypt}(x_1)$

UnSet\_Encrypt :  $h(x_1, y_1); \text{encrypt}(x_1) \rightarrow \neg\text{encrypt}(x_1)$

$\vdots$

$\vdots$

KeyGenerate :  $\xrightarrow{\text{new } n_1, k_1} h(n_1, k_1); A(n_1)$

Wrap :

$h(x_1, y_1), h(x_2, y_2); \text{wrap}(x_1), \text{extract}(x_2) \rightarrow \{y_2\}_{y_1}$

Unwrap :

$h(x_2, y_2), \{y_1\}_{y_2}; \text{unwrap}(x_2) \xrightarrow{\text{new } n_1} h(n_1, y_1); A(n_1)$

Encrypt :  $h(x_1, y_1), y_2; \text{encrypt}(x_1) \rightarrow \{y_2\}_{y_1}$

Decrypt :  $h(x_1, y_1), \{y_2\}_{y_1}; \text{decrypt}(x_1) \rightarrow y_2$

Set\_Attribute\_Value :  $h(x_1, y_1); A_1(x_1) \rightarrow A_2(x_1)$

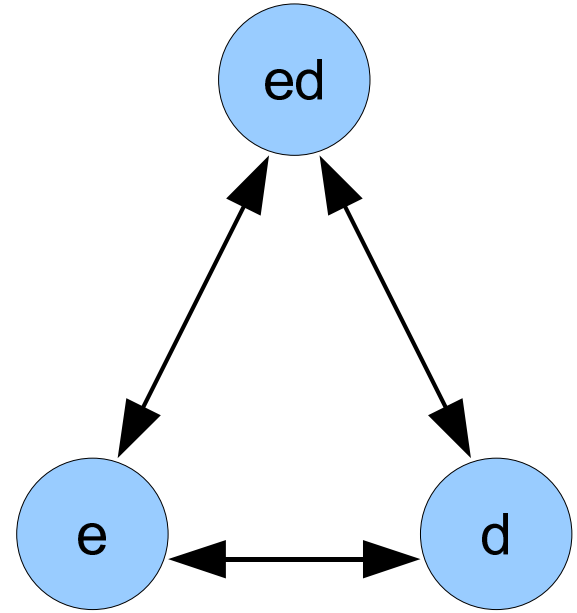
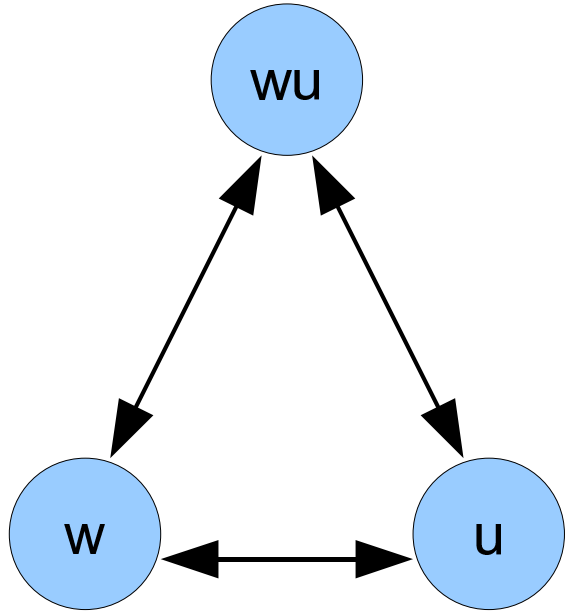
## Attribute Policy

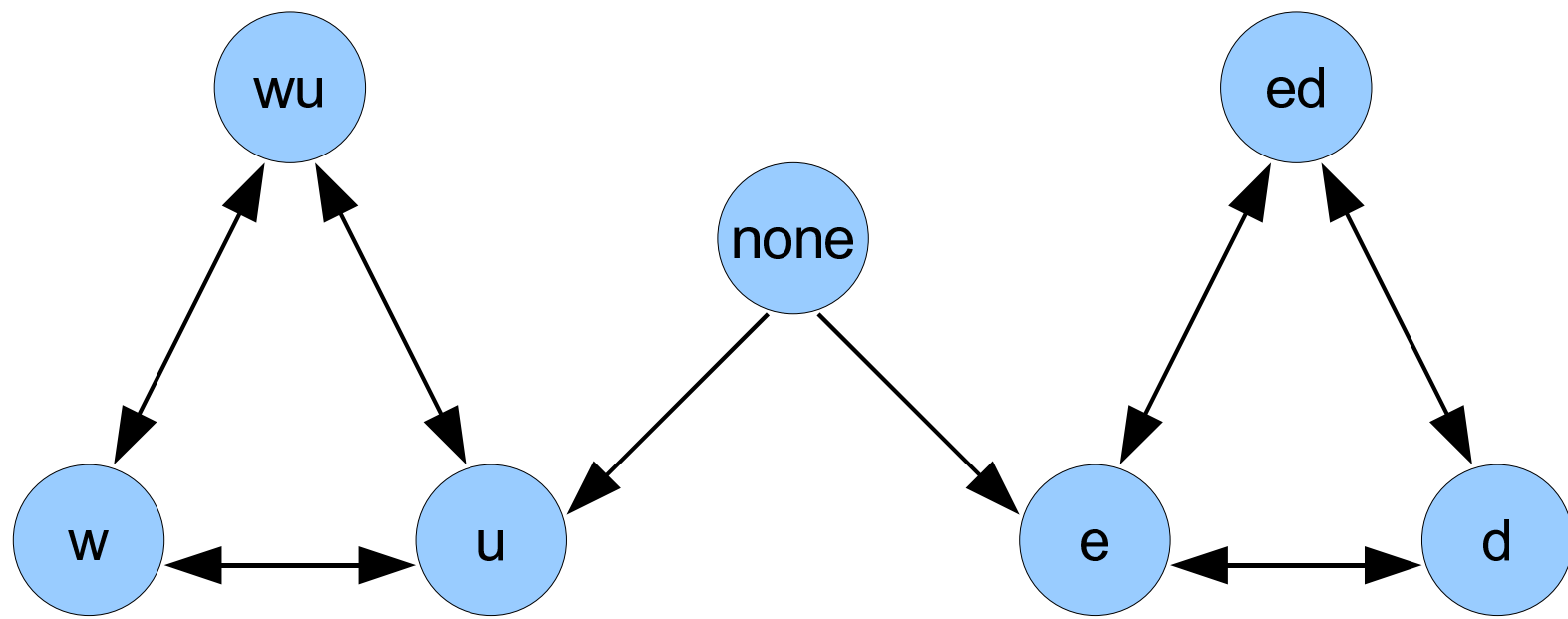
An *attribute policy* is a finite directed graph  $P = (S_P, \rightarrow_P)$  where  $S_P$  is the set of allowable object states, and  $\rightarrow_P \subseteq S_P \times S_P$  is the set of allowable transitions between the object states.

An attribute policy  $P = (S, \rightarrow)$  is *complete* if  $P$  consists of a collection of disjoint, disconnected cliques, and for each clique  $C$ ,

$$c_0, c_1 \in C \Rightarrow c_0 \cup c_1 \in C$$

We insist on complete policies, assuming intruder can always copy keys.





## Endpoints

We call the object states of  $S$  that are maximal in  $S$  with respect to set inclusion *end points* of  $P$ .

Theorem: Derivation in API with complete policy iff derivation in API with (static) endpoint policy

# Bounds

Assume endpoint policies

Make series of simple transformations

- Bound number of fresh keys to number of endpoints  $\#ep$ 
  - get the same key every time a particular endpoint is requested
- Bound number of handles to  $(\#ep)^2$ 
  - for each key, get one handle for each endpoint

Intruder always starts with his own key

so require  $\#ep + 1$  keys and  $(\#ep + 1)^2$  handles

KeyGenerate :  $\xrightarrow{\text{new } n_1, k_1}$   $h(n_1, k_1); A(n_1)$

Wrap :

$h(x_1, y_1), h(x_2, y_2); \text{wrap}(x_1), A(x_2) \xrightarrow{\text{new } m_k} \{y_2\}_{y_1}, \{m_k\}_{y_1}$   
 $\text{hmac}_{m_k}(y_2, \mathcal{A})$

Unwrap :

$h(x_2, y_2), \{y_1\}_{y_2}, \{x_m\}_{y_2}, \xrightarrow{\text{new } n_1} h(n_1, y_1); A(n_1)$   
 $\text{hmac}_{x_m}(y_1, \mathcal{A}); \text{unwrap}(x_2)$

Encrypt :  $h(x_1, y_1), y_2; \text{encrypt}(x_1) \rightarrow \{y_2\}_{y_1}$

Decrypt :  $h(x_1, y_1), \{y_2\}_{y_1}; \text{decrypt}(x_1) \rightarrow y_2$

$P = (\{e, d, ed, w, u, wu\}, \rightarrow)$  (where  $\rightarrow$  makes the obvious cliques)



## Model checking

Use SATMC (U. di Genova) to check formal model for attack

A *known key* is a key  $k$  such that the intruder knows the plaintext value  $k$  and the intruder has a handle  $h(n, k)$ .

**Property 1** If an intruder starts with no known keys, he cannot obtain any known keys.

Verified for our revised API in 0.4 sec

**Property 2** If an intruder starts with a known key  $k_i$  with handle  $h(n_i, k_i)$ , and  $ed(n_i)$  is true, then he cannot obtain any further known keys.

Attack!

## Lost session key attack

**Initial knowledge:** Handles  $h(n_1, k_1)$ ,  $h(n_2, k_2)$ , and  $h(n_i, k_i)$ . Key  $k_i$ .  
Attributes  $ed(n_1)$ ,  $wu(n_2)$ ,  $ed(n_i)$ .

### Trace:

Wrap: (ed)  $h(n_2, k_2), h(n_i, k_i) \rightarrow$   
 $\{k_i\}_{k_2}, \{k_3\}_{k_2}, \text{hmac}_{k_3}(k_i, ed)$

Unwrap: (wu)  $h(n_2, k_2), \{k_i\}_{k_2}, \{k_i\}_{k_2},$   
 $\text{hmac}_{k_i}(k_i, wu) \rightarrow h(n_2, k_i)$

Wrap: (ed)  $h(n_2, k_i), h(n_1, k_1) \rightarrow$   
 $\{k_1\}_{k_i}, \{k_3\}_{k_i}, \text{hmac}_{k_3}(k_1, ed)$

Decrypt:  $k_i, \{k_1\}_{k_i} \rightarrow k_1$

## Revised API

Wrap :

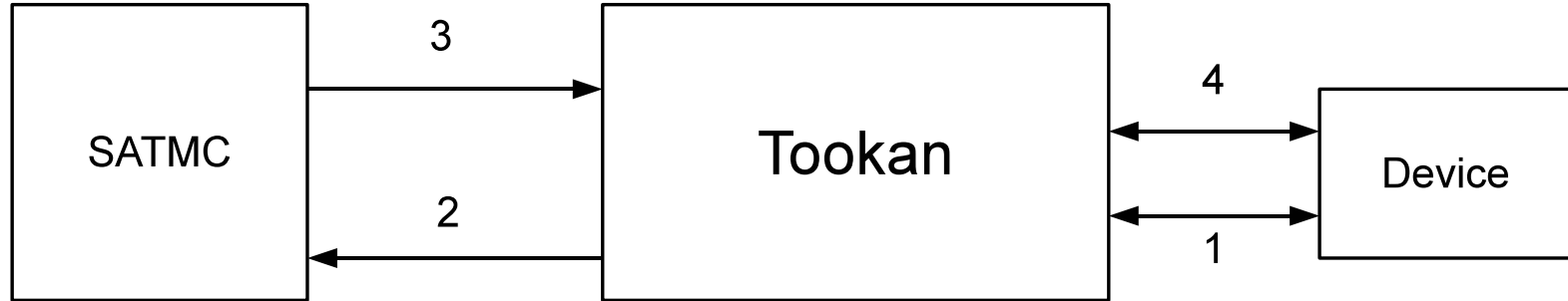
$$h(x_1, y_1), h(x_2, y_2); \text{wrap}(x_1), A(x_2) \xrightarrow{\text{new } m_k} \begin{array}{l} \{y_2\}_{y_1}, \{m_k\}_{y_1} \\ \text{hmac}_{m_k}(y_2, \mathcal{A}, y_1) \end{array}$$

Unwrap :

$$\begin{array}{l} h(x_2, y_2), \{y_1\}_{y_2}, \{x_m\}_{y_2}, \\ \text{hmac}_{x_m}(y_1, \mathcal{A}, y_2); \text{unwrap}(x_2) \end{array} \xrightarrow{\text{new } n_1} h(n_1, y_1); A(n_1)$$

Property 2 now verified by SATMC

Can also verify attribute policy is enforced



See Bortolozzo, Centenaro, Focardi & S., *Attacking and Fixing PKCS#11 Security Tokens*, to appear at ACM CCS 2010.

	Device		Supported Functionality						Attacks found					mc	
	Brand	Model	sym	asym	cobj	chan	w	ws	a1	a2	a3	a4	a5		
USB	XXXX	XXXX	✓	✓	✓	✓	✓	✓		✓	✓	✓		a3	
	XXXX	XXXX	✓	✓	✓	✓	✓	✓	✓	✓				a1	
	XXXX	XXXX	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	a3	
	XXXX	XXXX		✓	✓										
	XXXX	XXXX		✓		✓									
	XXXX	XXXX	✓	✓	✓	✓	✓	✓		✓	✓	✓		a3	
	XXXX	XXXX	✓	✓	✓		✓								
	XXXX	XXXX	✓	✓		✓									
	XXXX	XXXX	✓	✓	✓										
	XXXX	XXXX	✓	✓	✓	✓	✓	✓	✓	✓	✓				a1
Card	XXXX	XXXX	✓	✓	✓	✓	✓	✓	✓	✓		✓	✓	✓	a3
	XXXX	XXXX	✓	✓	✓		✓	✓		✓					a2
	XXXX	XXXX		✓		✓									
	XXXX	XXXX	✓	✓	✓										
	XXXX	XXXX	✓	✓	✓	✓									
	XXXX	XXXX	✓	✓	✓		✓					✓			a4
Soft	XXXX	XXXX	✓	✓		✓	✓	✓	✓	✓		✓			a1
	XXXX	XXXX	✓	✓	✓	✓	✓	✓	✓	✓		✓			a1

## A New Hope?

Proposals for new APIs by Cachin and Chandran (CSF '09), Cortier and Steel (ESORICS '09).

- CC is for a single central server with a log, CS is for distributed tokens
- possibility of unifying these proposals?

Standards processes trying to set new APIs

- OASIS Key Management Interoperability Protocol
- IEEE Security in Storage Working Group
- PKCS#11 2.30 (no improvement)

## Cachin-Chandran API

- Assume only one key server, many users, log of all operations
- Keys created with no attributes. Owner of key can set permissions
- Conflicts are checked by looking in the log, e.g. 'if this key has been used by any user for wrapping, do not allow it to be used for decryption'
- Also calculates dependencies between keys

+ very flexible, - fails immediately if a key is compromised, or if distributed over several servers

## Cortier-Steel API

- Assume distributed tokens, one for each user
  - Strict hierarchy of wrap/unwrap and encrypt/decrypt keys
  - Keys created with attributes that cannot be changed in future
  - Key attributes include names of other users key can be shared with
  - All encryptions tagged with key/user information
- + strong security properties, robust to loss of keys, no central log required
- not as flexible as Cachin proposal



## More on Key Management APIs

S. Delaune, S. Kremer and G. Steel. *Formal Analysis of PKCS#11 and Proprietary Extensions*. To appear in JCS 2010

V. Cortier and G. Steel. *A Generic API for Symmetric Key Management*. In ESORICS '09.

C. Chachin and N. Chandran. *A Secure Cryptographic Token Interface*. In CSF-22.

S. Fröschle and G. Steel. *Analysis of PKCS#11 APIs with Unbounded Fresh Data*, ARSPA-WITS '09.

OASIS [www.oasis-open.org/committees/kmip](http://www.oasis-open.org/committees/kmip), IEEE 1619  
[siswg.net](http://siswg.net)

ASA-4, <http://www.lsv.ens-cachan.fr/~steel/asa4>

Interested? Internships + postdocs available, get in touch